

Scaling limit of soliton statistics in randomized box-ball systems

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- 1 Introduction
- 2 Overview of results and approach
- 3 The randomized elementary BBS
- 4 The randomized multicolor BBS: Rows
- 5 The randomized multicolor BBS: Columns

1. Introduction

The elementary Box-ball system

$t = 0$	0	1	1	0	1	1	1	0	0	0	1	0	0	0	0	0	0	0	0	0	...
1	0	0	0	1	0	0	0	1	1	1	0	1	1	0	0	0	0	0	0	0	...
2	0	0	0	0	1	0	0	0	0	0	1	0	0	1	1	1	1	0	0	0	...
3	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0	0	1	1	1	...

- ▶ A one-dimensional array of boxes on \mathbb{N}

The elementary Box-ball system

$t = 0$	0	1	1	0	1	1	1	0	0	0	1	0	0	0	0	0	0	0	0	0	...
1	0	0	0	1	0	0	0	1	1	1	0	1	1	0	0	0	0	0	0	0	...
2	0	0	0	0	1	0	0	0	0	0	1	0	0	1	1	1	1	0	0	0	...
3	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0	0	1	1	1	...

- ▶ A one-dimensional array of boxes on \mathbb{N}
- ▶ Each box can have a ball (color 1) or be empty (color 0)

The elementary Box-ball system

$t = 0$	0	1	1	0	1	1	1	0	0	0	1	0	0	0	0	0	0	0	0	0	...
1	0	0	0	1	0	0	0	1	1	1	0	1	1	0	0	0	0	0	0	0	...
2	0	0	0	0	1	0	0	0	0	0	1	0	0	1	1	1	1	0	0	0	...
3	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0	0	1	1	1	...

- ▶ A one-dimensional array of boxes on \mathbb{N}
- ▶ Each box can have a ball (color 1) or be empty (color 0)
- ▶ Dynamics: Sequence $(X_t)_{t \geq 0}$ of binary strings $X_t : \mathbb{N} \rightarrow \{0, 1\}$

The elementary Box-ball system

$t = 0$	0	1	1	0	1	1	1	0	0	0	1	0	0	0	0	0	0	0	0	0	...
1	0	0	0	1	0	0	0	1	1	1	0	1	1	0	0	0	0	0	0	0	...
2	0	0	0	0	1	0	0	0	0	0	1	0	0	1	1	1	1	0	0	0	...
3	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0	0	1	1	1	...

- ▶ A one-dimensional array of boxes on \mathbb{N}
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- ▶ Time evolution (via ball-moving): From left to right, move each untouched ball into the leftmost empty box

The elementary Box-ball system

$t = 0$	0	1	1	0	1	1	1	0	0	0	1	0	0	0	0	0	0	0	0	0	...
1	0	0	0	1	0	0	0	1	1	1	0	1	1	0	0	0	0	0	0	0	...
2	0	0	0	0	1	0	0	0	0	0	1	0	0	1	1	1	1	0	0	0	...
3	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0	0	1	1	1	...

- ▶ A one-dimensional array of boxes on \mathbb{N}
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The elementary Box-ball system

$$\begin{array}{c|cccccccccccccccccccccccccccc}
 t = 0 & 0 & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots \\
 1 & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots
 \end{array}$$



- Time evolution (via ball-moving): From left to right, move each untouched ball into the leftmost empty box

The elementary Box-ball system

$$\begin{array}{c|cccccccccccccccccccccccccccc}
 t = 0 & 0 & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots \\
 1 & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots
 \end{array}$$



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The elementary Box-ball system

$$\begin{array}{c|cccccccccccccccccccccccccccc}
 t = 0 & 0 & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots \\
 1 & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots
 \end{array}$$



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$$\begin{array}{c|cccccccccccccccccccccccccccc}
 t = 0 & 0 & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots \\
 1 & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots
 \end{array}$$



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$$\begin{array}{c|cccccccccccccccccccccccc}
 t = 0 & 0 & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots \\
 1 & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots
 \end{array}$$



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$$\begin{array}{c|cccccccccccccccccccccccccccc}
 t = 0 & 0 & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots \\
 1 & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots
 \end{array}$$



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$$\begin{array}{c|cccccccccccccccccccccccccccc}
 t = 0 & 0 & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots \\
 1 & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots
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$$\begin{array}{c|cccccccccccccccccccccccccccc}
 t = 0 & 0 & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots \\
 1 & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots
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$$\begin{array}{c|cccccccccccccccccccccccc}
 t = 0 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots \\
 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots
 \end{array}$$



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The elementary Box-ball system

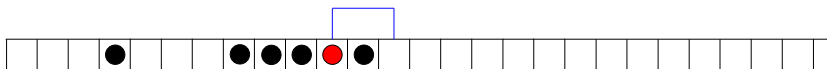
$$\begin{array}{c|cccccccccccccccccccccccc}
 t = 0 & 0 & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots \\
 1 & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots
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The elementary Box-ball system

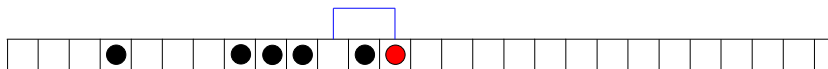
$$\begin{array}{c|cccccccccccccccccccccccc}
 t = 0 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots \\
 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots
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 t = 0 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots \\
 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots
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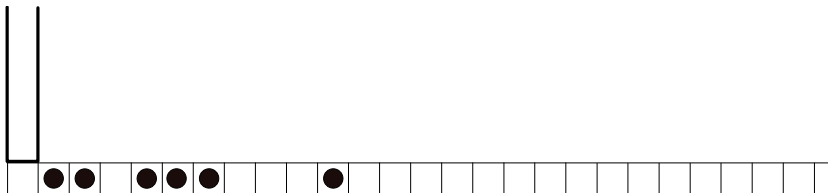
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$$\begin{array}{c|cccccccccccccccccccccccc}
 t = 0 & 0 & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots \\
 1 & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & 0 & \mathbf{1} & \mathbf{1} & \mathbf{1} & 0 & \mathbf{1} & \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots
 \end{array}$$



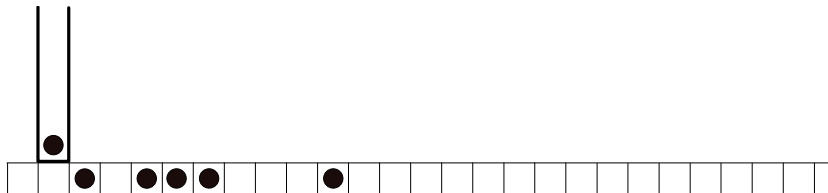
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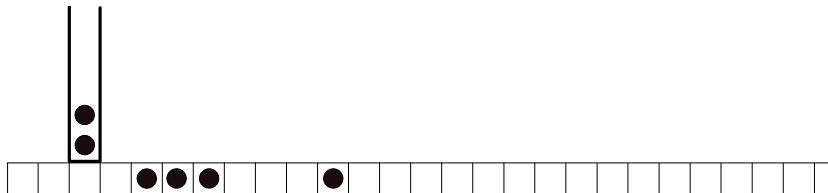
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The elementary Box-ball system



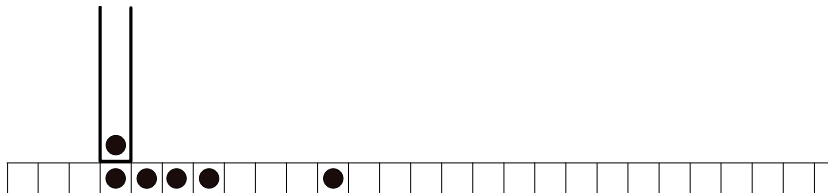
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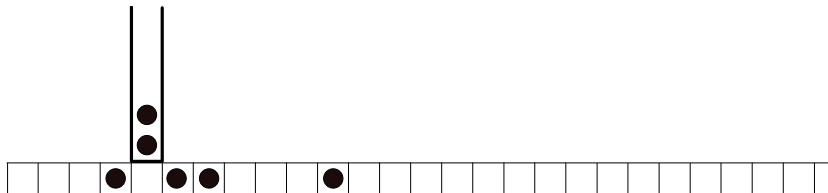
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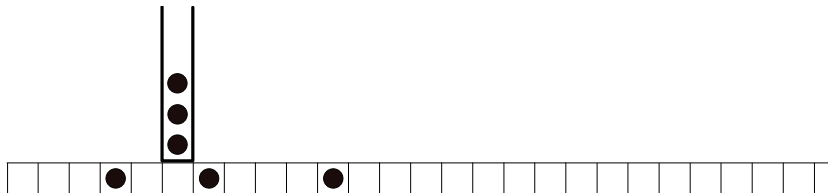
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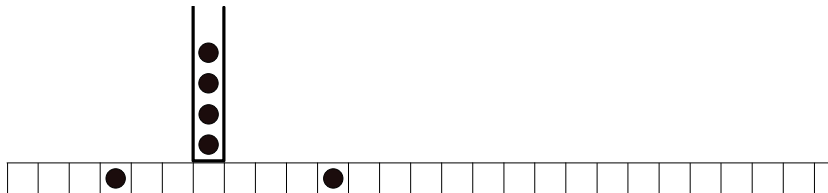
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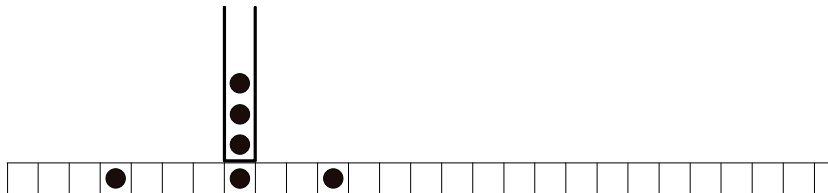
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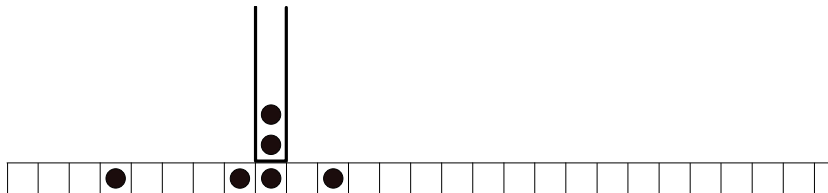
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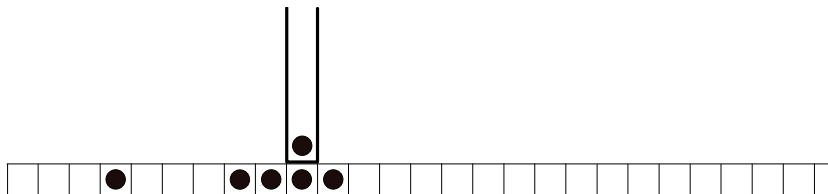
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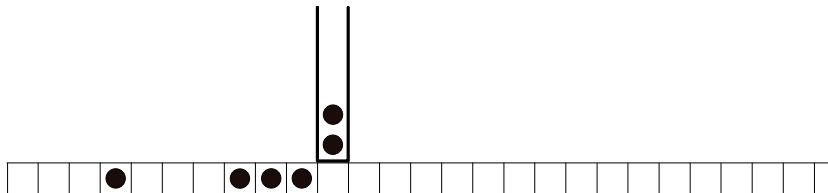
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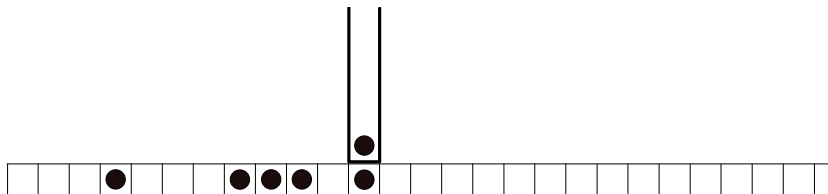
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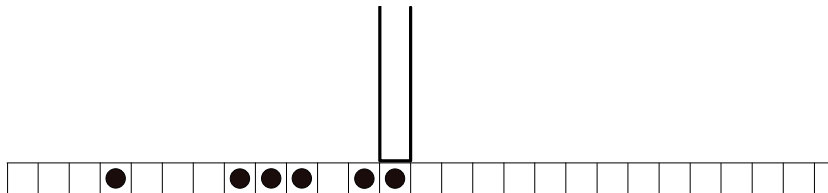
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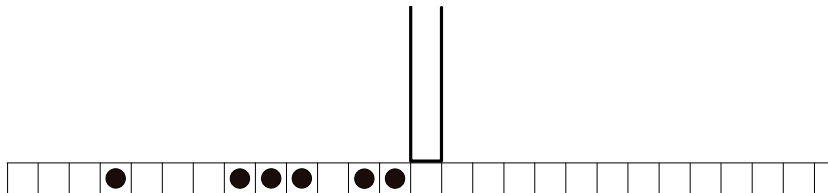
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The elementary Box-ball system



- ▶ Time evolution (via carrier): A vertical stack of infinite capacity sweeps through the boxes from 0 to the right, picking up all balls it encounters and putting down a ball (if it has one) in every empty box.

The basic multicolor Box-Ball System

$t = 0 :$	00312051300411252003211000000000000000000000
$t = 1 :$	000013201530001415220003211000000000000000000
$t = 2 :$	000001030215300104105220000321100000000000000
$t = 3 :$	0000001030021530100410052200000321100000000000
$t = 4 :$	00000001030002150310041000522000000321100000000
$t = 5 :$	00000000103000025103100410000522000000032110000
$t = 6 :$	00000000010300002051031004100000522000000003211

- ▶ Each box can have a ball of colors from $\{0, 1, \dots, \kappa\}$ (0 being empty)
- ▶ Sequence $(X_t)_{t \geq 0}$ of $(\kappa + 1)$ -colorings of \mathbb{N} strings $X_t : \mathbb{N} \rightarrow \{0, 1, \dots, \kappa\}$
- ▶ $X_t(x)$ = color of the ball at box x at time t ; zero if empty
- ▶ Time evolution (via ball-moving):
 1. From left to right, move each untouched ball of color κ to the leftmost empty box
 2. From left to right, move each untouched ball of color $\kappa - 1$ to the leftmost empty box
 3. Repeat the same procedure for each color i down to 1.

The basic multicolor Box-Ball System

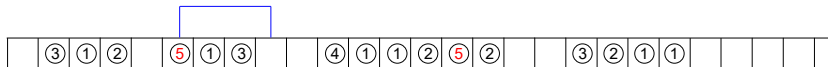
$t = 0 :$	00312051300411252003211000000000000000000000
$t = 1 :$	0000132015300014152200032110000000000000000
$t = 2 :$	0000010302153001041052200003211000000000000
$t = 3 :$	000000103002153010041005220000032110000000000
$t = 4 :$	00000001030002150310041000522000000321100000000
$t = 5 :$	00000000103000025103100410000522000000032110000
$t = 6 :$	00000000010300002051031004100000522000000003211

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$t = 0 :$ 00312051300411252003211000000000000000000000000000

$t = 1 :$ 0000132015300014152200032110000000000000000000000



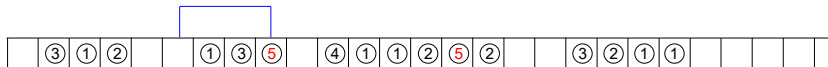
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$t = 0 :$ 00312051300411252003211000000000000000000000000000

$t = 1 :$ 00001320153000141522000321100000000000000000000000



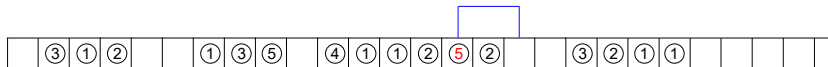
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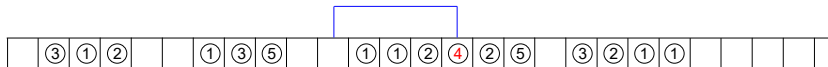
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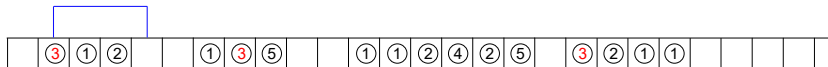
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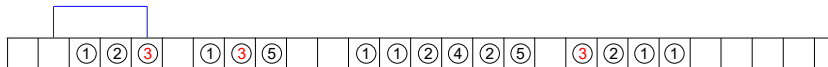
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The basic multicolor Box-Ball System

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$t = 1 :$ 00001320153000141522000321100000000000000000000000



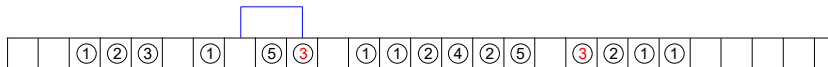
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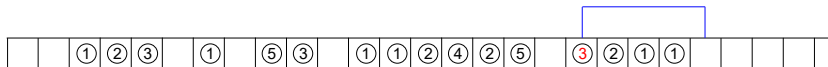
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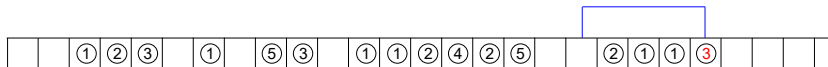
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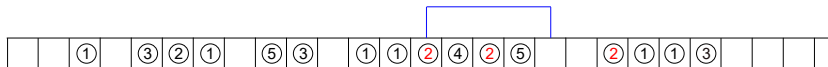
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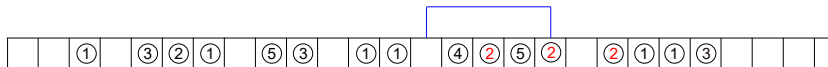
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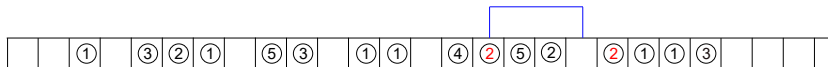
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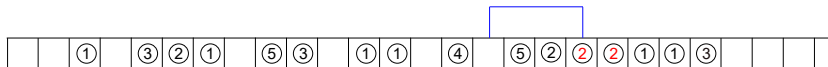
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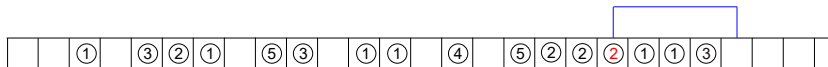
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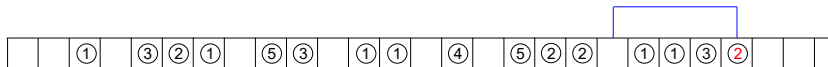
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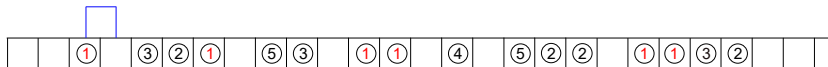
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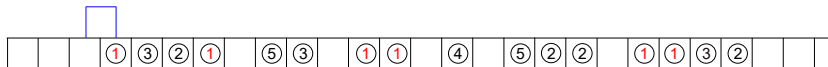
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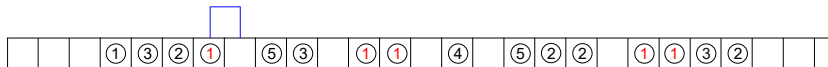
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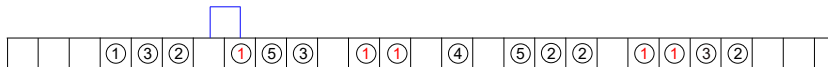
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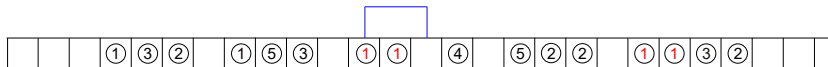
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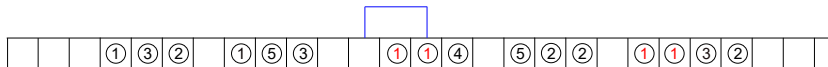
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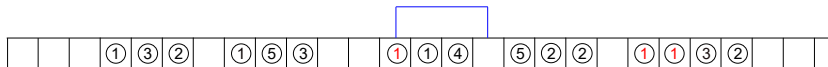
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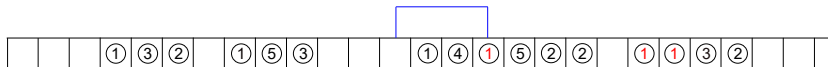
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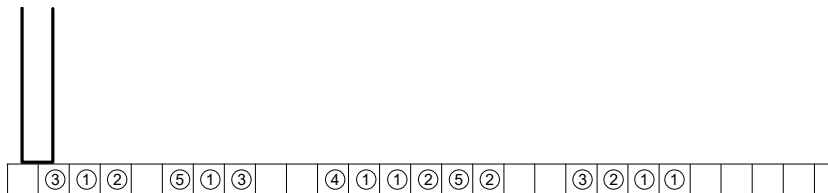
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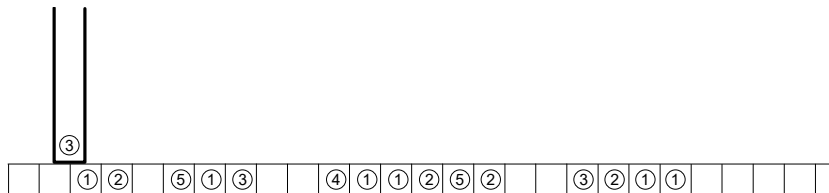
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1. Consider carrier filled with infinitely many 0's. Carrier sweeps through the balls from left to right, with the following **circular exclusion rule**:
2. Let $i = \text{color of the newly inserted ball}$. If $i \geq 1$, then it replaces the a ball of larges color ≤ 1 in the carrier.
3. If $i = 0$, then it replaces a ball of larges color in the carrier

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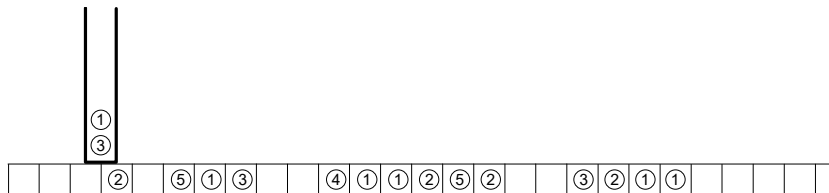
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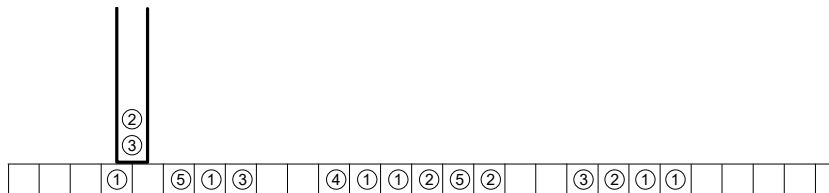
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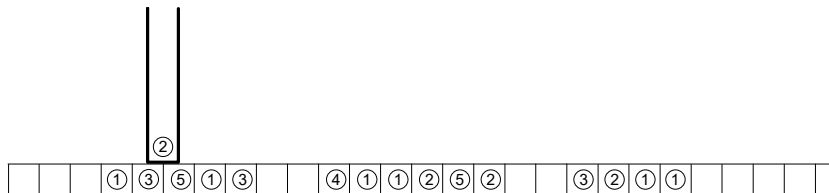
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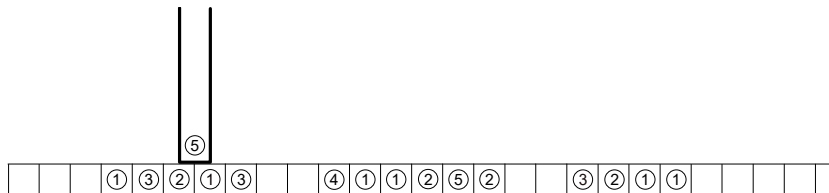
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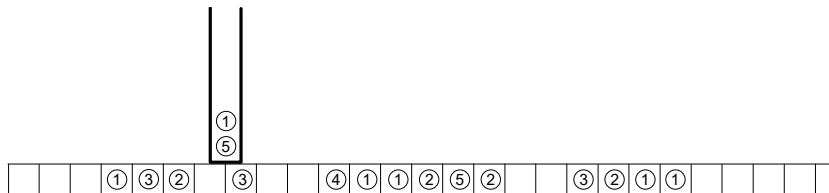
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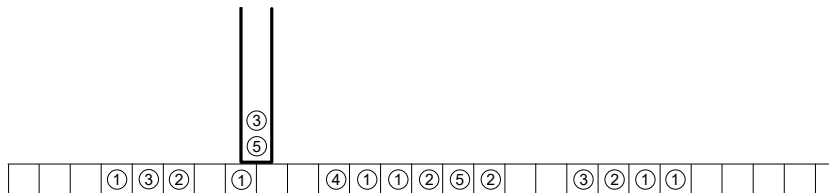
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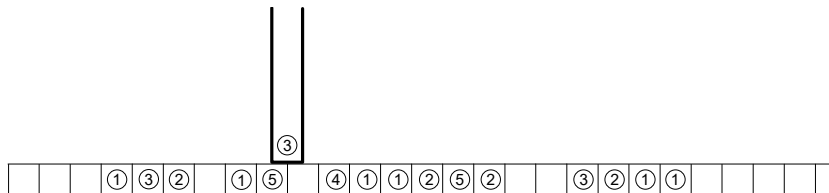
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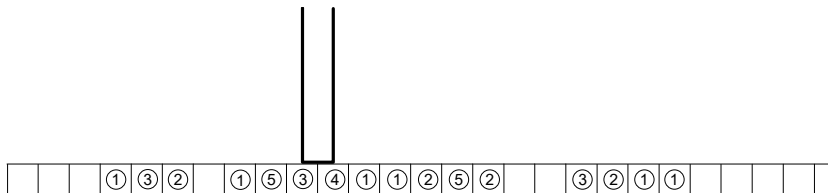
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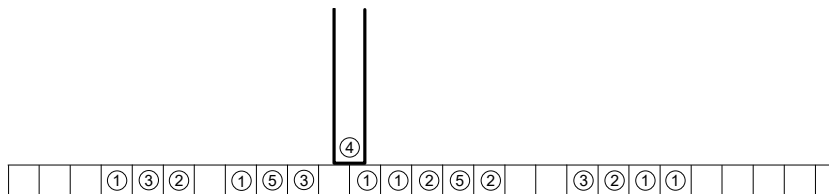
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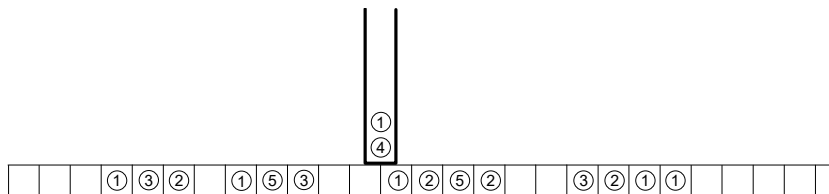
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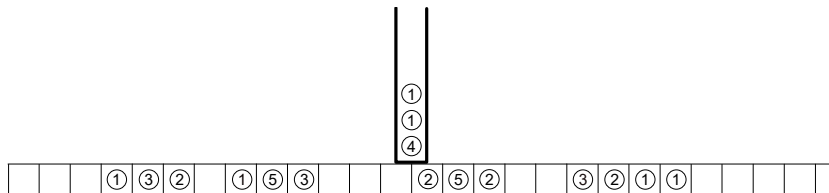
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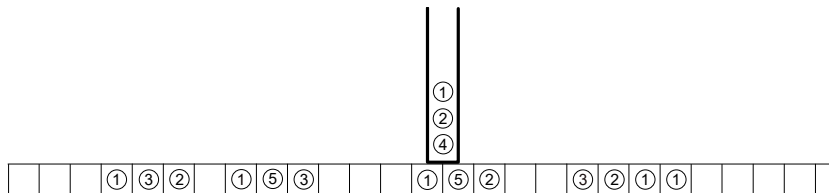
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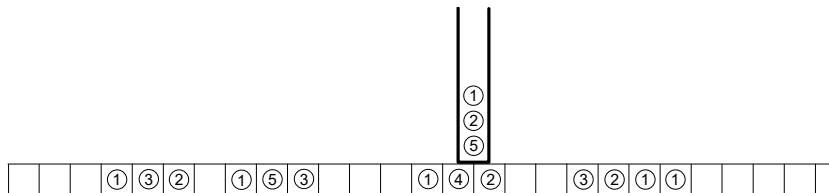
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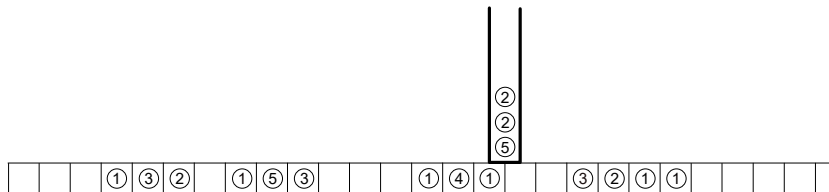
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The basic multicolor Box-Ball System

$t = 0 :$ 00312051300411252003211000000000000000000000000000

$t = 1 :$ 00001320153000141522000321100000000000000000000000



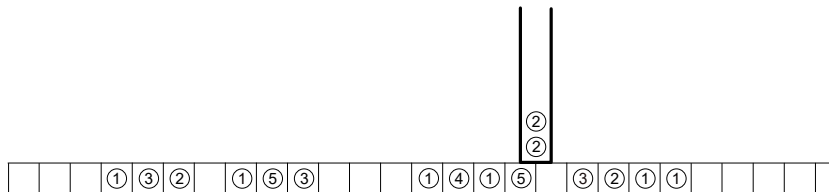
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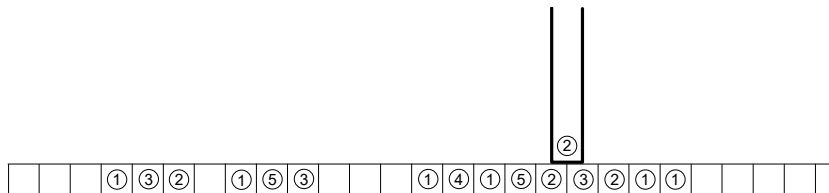
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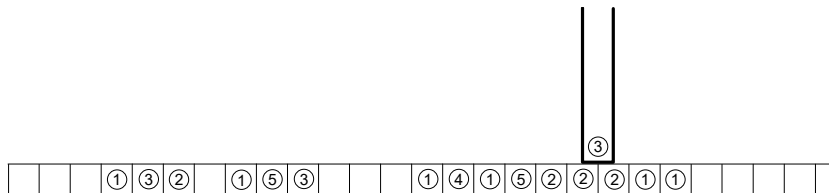
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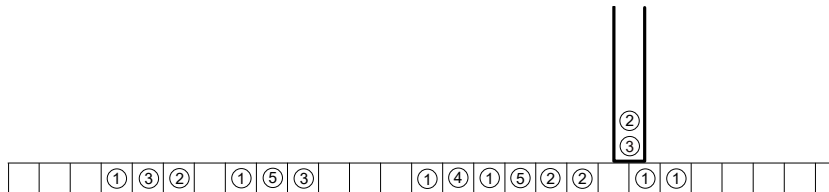
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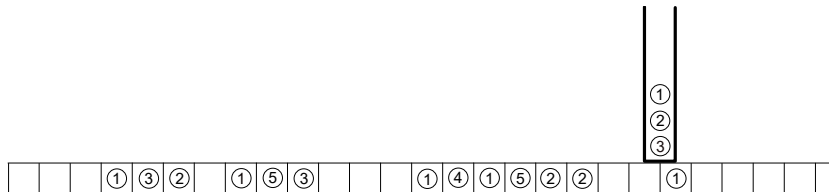
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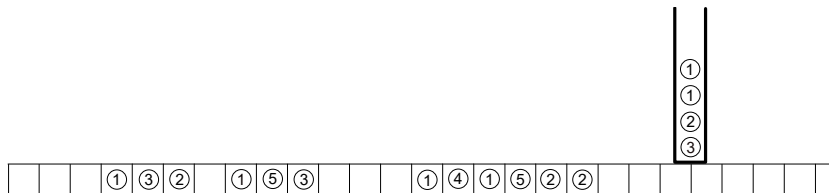
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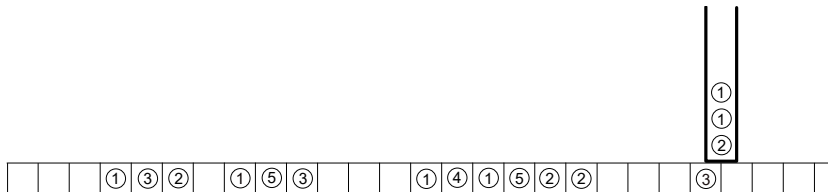
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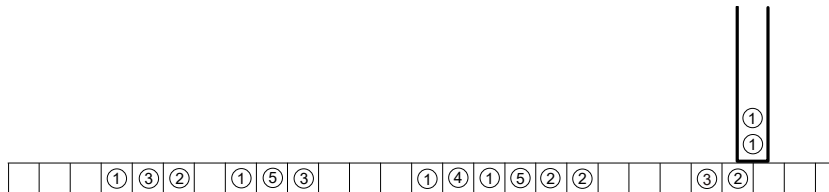
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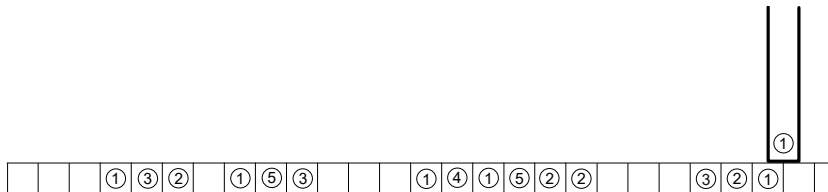
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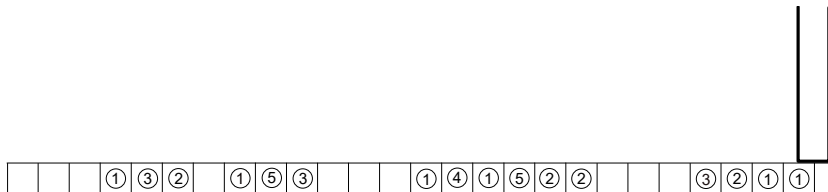
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$t = 2 :$	00000103021530010410522000032110000000000000
$t = 3 :$	000000103002153010041005220000032110000000000
$t = 4 :$	0000000103000215031004100052200000032110000000
$t = 5 :$	00000000103000025103100410000522000000032110000
$t = 6 :$	00000000010300002051031004100000522000000003211

- ▶ Each box can have a ball of colors from $\{0, 1, \dots, \kappa\}$ (0 being empty)
- ▶ Sequence $(X_t)_{t \geq 0}$ of $(\kappa + 1)$ -colorings of \mathbb{N} strings $X_t : \mathbb{N} \rightarrow \{0, 1, \dots, \kappa\}$
- ▶ $X_t(x)$ = color of the ball at box x at time t ; zero if empty
- ▶ Time evolution (via ball-moving):
 1. From left to right, move each untouched ball of color κ to the leftmost empty box
 2. From left to right, move each untouched ball of color $\kappa - 1$ to the leftmost empty box
 3. Repeat the same procedure for each color i down to 1.

Soliton decomposition of BBS

[illegible]

$$\mapsto \Lambda_{BBS}(X_0) =$$

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$$\begin{pmatrix} t = 0 : & 00312051300411252003211000000000000000000000 \\ t = 1 : & 000013201530001415220003211000000000000000000 \\ t = 2 : & 000001030215300104105220000321100000000000000 \\ t = 3 : & 000000103002153010041005220000032110000000000 \\ t = 4 : & 000000010300021503100410005220000003211000000 \\ t = 5 : & 0000000010300002510310041000052200000003211000 \\ t = 6 : & 00000000010300002051031004100000522000000003211 \\ \vdots & \end{pmatrix}$$

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Background: BBS as ultradiscrete limit of KdV

- ▶ One of the most well-known integrable nonlinear partial differential equation is the Korteweg-de Vries (KdV) equation:

$$u_t + 6uu_t + u_{xxx} = 0, \quad (1)$$

where $u = u(x, t)$ is a function of two continuous parameters x and t , and the lower indexes denote derivatives with respect to the specified variables.

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- Further discretization of the continuous box state in dKdV leads to the ultradiscrete KdV (udKdV) equation, which corresponds to the $\kappa = 1$ BBS by Takahashi-Satsuma [8]:

$$U_n^{t+1} = \min \left(1 - U_n^t, \sum_{k=-\infty}^{n-1} (U_k^t - U_k^{t+1}) \right), \quad (3)$$

where u_k^t denotes the number of balls at time t in box k .

Double Integrability of BBS



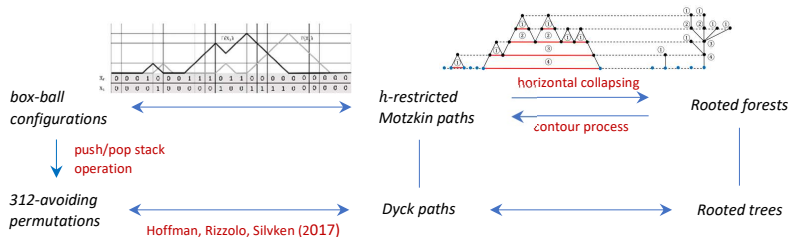
Yang-Baxter eq. \longrightarrow Commutativity of carrier transition maps

Quantum group \longrightarrow Crystal base theory \longleftarrow Geometric crystal
 Tropical Geometry \longleftarrow Algebraic geometry

Quantum R matrix \longrightarrow Combinatorial R matrix

Discrete solitons \longleftarrow Classical theory of solitons
 Rigged configurations $\xleftarrow{\text{KKR bijection}}$ Toda lattice
 Action-angle variables $\xleftarrow{\text{KKR bijection}}$ Action-angle variables

Correspondences between elementary BBS and combinatorial objects



	Box-ball configurations	Motzkin paths	Rooted forests	312-avoiding permutations
i th row length of Young diagram	Number of solitons of length $\geq i$	Number of subexcursions of height $\geq i$	Number of leaves after trimming leaves i times	Length of i th longest increasing subsequence
j th column length of Young diagram	Length of j th longest soliton	Maximum height after applying excursion operator j times	Maximum height after contracting longest path j times	Length of j th longest decreasing subsequence

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 1. (*Limiting shape of BBS-YD*) For a sequence of random initial configuration $X_0^n : [1, n] \rightarrow \{0, 1, \dots, \kappa\}$, what is the limiting shape of $\Lambda(X_0^n)$ as $n \rightarrow \infty$?

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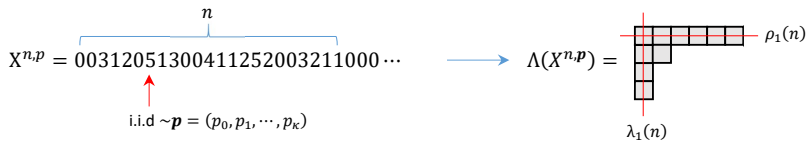
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Limiting shape of BBS-YD	Classification of BBS-invariant measures
Levine, Lyu , Pike (2017)	Ferrari , Nguyen, Rolla, and Wang (2018)
Lyu , Kuniba, and Okado (2018)	Croydon, Kato, Sasada, Tsujimoto (2018)
Lyu and Kuniba (2018)	Ferrari and Gabrielli (2018)
Lewis, Lyu , Pylyavskyy, Sen (2019)	Croydon and Sasada (2019)
	Ferrari and Gabrielli (2019)

2. Overview of results and approach

Overview of results for the i.i.d. model

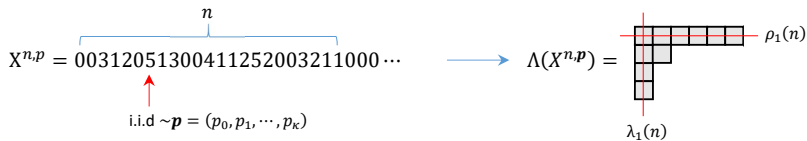


$i \geq 1, j \geq 2$ fixed		$\rho_i(n)$	$\lambda_1(n)$	$\lambda_j(n)$
Subcritical phase ($p^* < p_0$)		$\Theta(n)$	$\Theta(\log n)$	$\Theta(\log n)$
Critical phase ($p^* = p_0$)		$\Theta(n)$	$\Theta(\sqrt{n})$	$\Theta(\sqrt{n})$
Supercritical phase ($p^* > p_0$)	Simple ($p^* = p_\ell$ for unique ℓ)	$\Theta(n)$	$\Theta(n)$	$\Theta(\log n)$
	Non-simple ($p^* = p_\ell$ for multiple ℓ)			$O(\sqrt{n}) \cap \Omega(\sqrt{n}/\log n)$

Figure: Asymptotic scaling of column and row lengths for the independence model with ball density $\mathbf{p} = (p_0, p_1, \dots, p_\kappa)$ and $p^* = \max(p_1, \dots, p_\kappa)$. See [6, 5, 4, 7]

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- ▶ Row lengths is always of order n
- ▶ Column lengths undergo phase transition depending on the ball density $\mathbf{p} = (p_0, p_1, \dots, p_\kappa)$.

Overview of results for the permutation model



$$\rho_k(n) \sim \frac{n}{k(k+1)}$$

$$\lambda_k(n) \sim \frac{\sqrt{n}}{\sqrt{k} + \sqrt{k+1}}$$

Figure: Asymptotic scaling of column and row lengths for the permutation model. See [7]

- ▶ Similar scaling limit as in the critical phase of the i.i.d. model

Overview of results for the permutation model

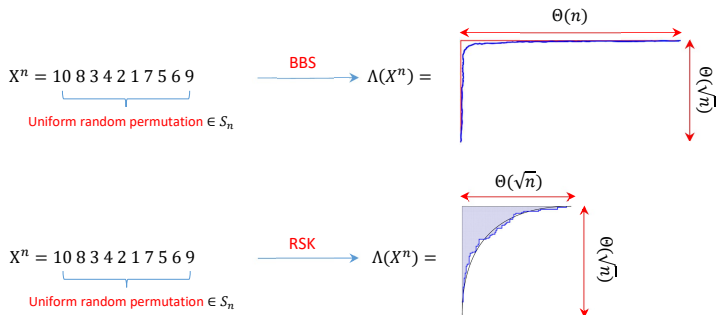


Figure: Comparison of the limiting shape of BBS-YD and RSK-YD from random permutation

- Recall that the Robinson-Schensted-Knuth (**RSK**) correspondence pushes the uniform measure on set of permutations S_n to the **Plancherel measure** on the YDs.

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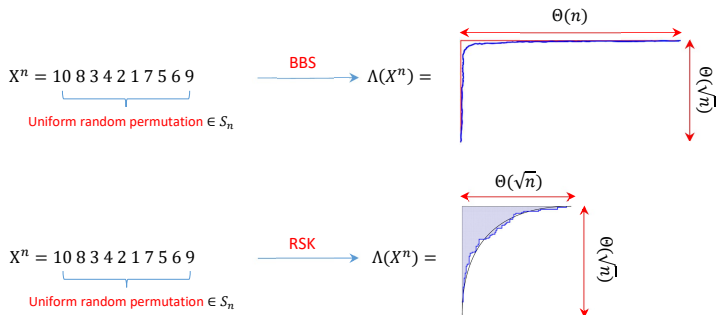


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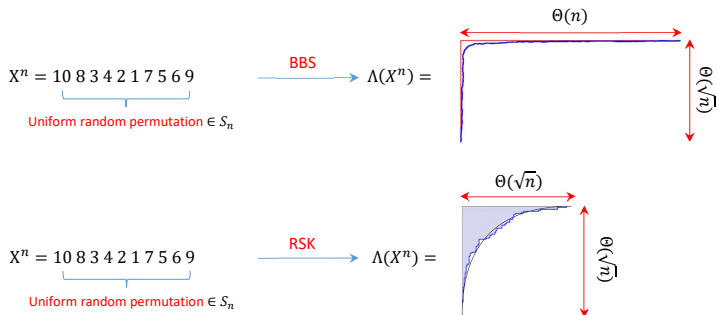
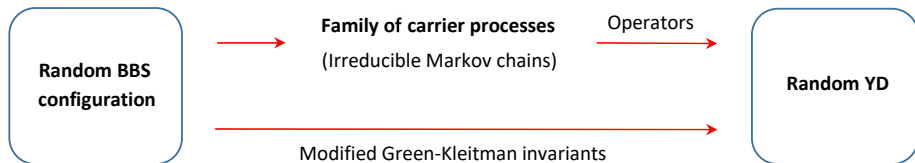


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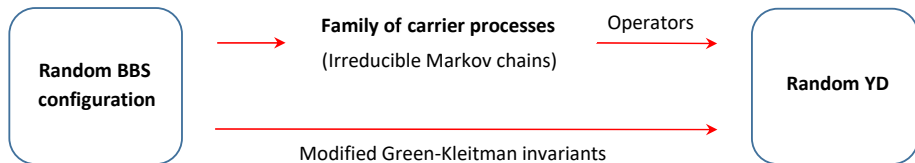
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- Our result show that there is **no global and joint rescaling of the BBS-YD** that has non-degenerate limiting shape.

Overview of our approach



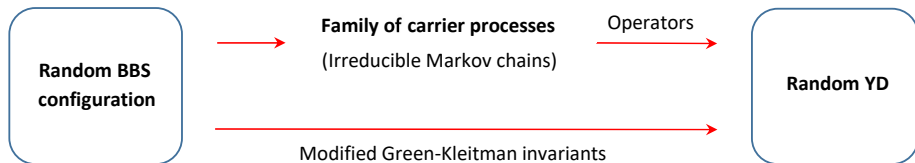
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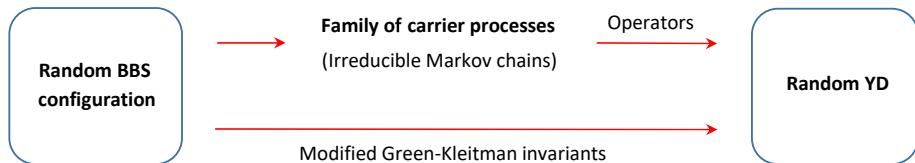


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Rows = Additive functional of irreducible MCs on finite state spaces

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- Explains why we always have $\Theta(n)$ scaling for the rows

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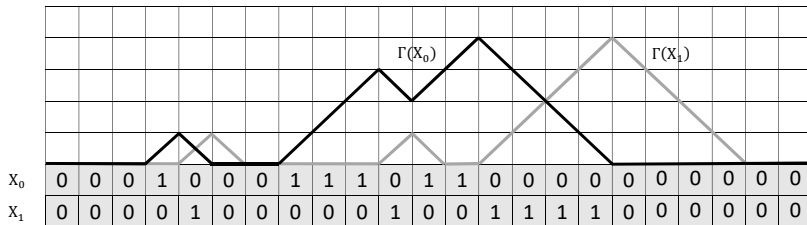
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Columns = Extreme statistics of irreducible MCs on infinite state spaces

- Enables to derive limiting distribution of the columns
- Explains why we have phase transition for the columns in the i.i.d. model (Asymptotic behavior of the MCs depends sensitively on \mathbf{p}).

3. The randomized elementary BBS

Associated carrier process

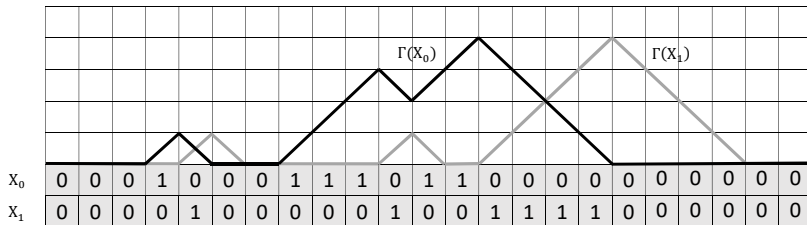


- To each box-ball configuration X , define the corresponding **carrier process** $\Gamma(X) : \mathbb{N}_0 \rightarrow \mathbb{N}_0$ by

$$\Gamma(X)_k = S_k - \min_{0 \leq \ell \leq k} S_\ell$$

where $S_0 = 0$ and $S_{k+1} - S_k = \mathbf{1}(X(k) = 1) - \mathbf{1}(X(k) = 0)$.

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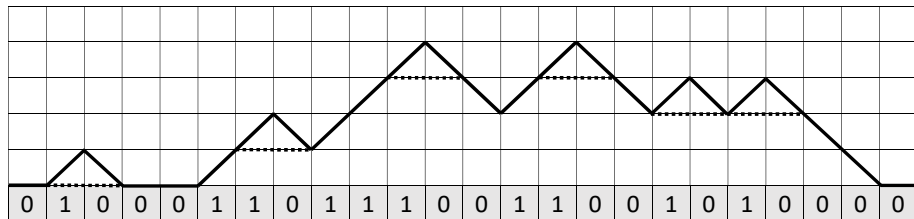


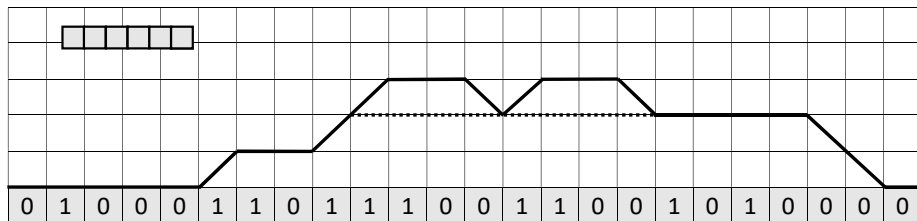
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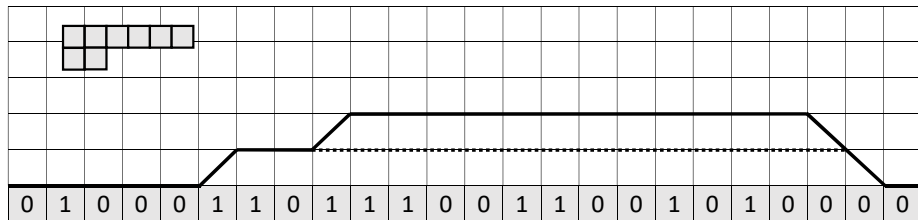
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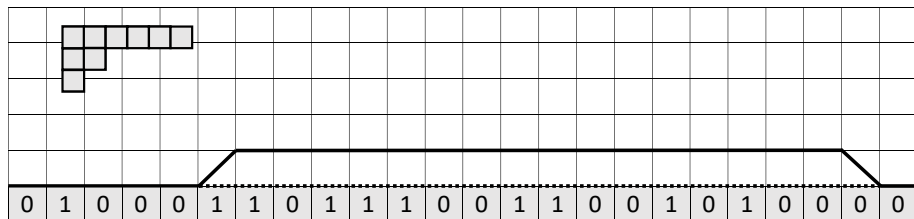
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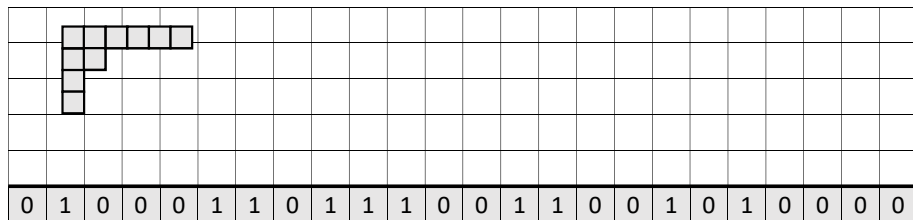
- ▶ $\Gamma(X)_k = (\# \text{ of balls in the carrier after scanning boxes in } [1, k])$

Row-wise construction via hill-flattening operator \mathcal{H} 

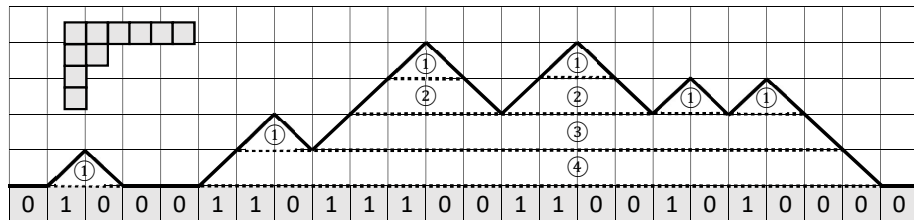
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Hill flattening construction of the Young diagram

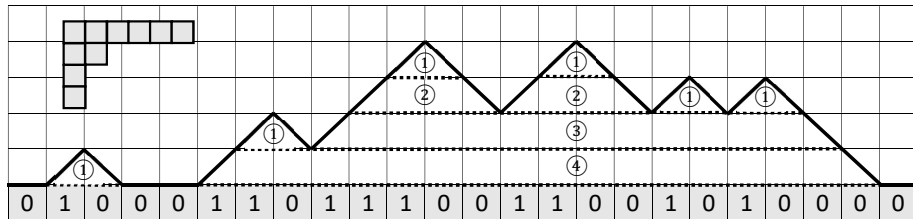


Lemma

Let $\tilde{\Lambda}(X_t)$ be the YD constructed from X_t according to the above procedure.

(i) $\tilde{\Lambda}(\Gamma(X_t)) = \tilde{\Lambda}(\Gamma(X_{t+1}))$ for all $t \geq 0$.

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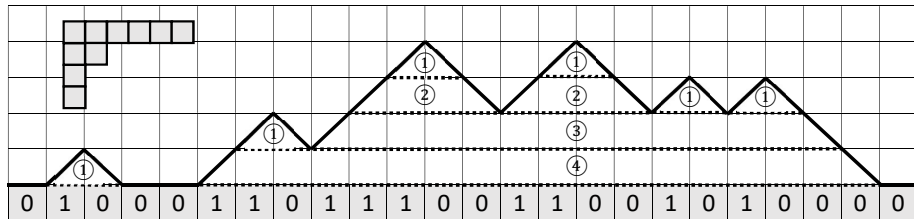
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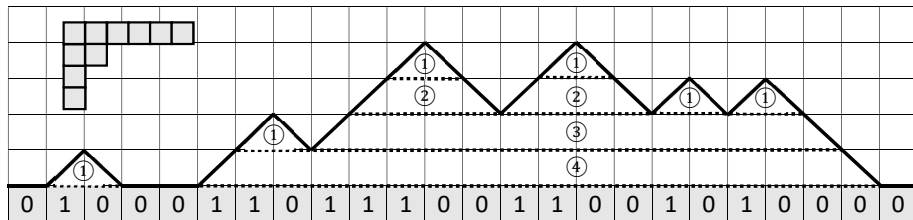


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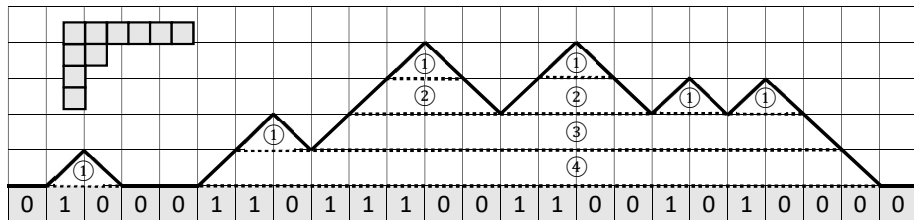
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► $\rho_1(X^{n,p}) = (\# \text{ of } \wedge\text{'s in the carrier process path}) \sim np(1-p)$.

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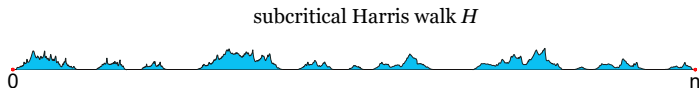
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- ▶ $\rho_1(X^{n,p}) = (\# \text{ of } \wedge\text{'s in the carrier process path}) \sim np(1-p)$.
- ▶ (iii) implies **double-jump phase transition** in $\lambda_1(X^{n,p})$.
(We will skip discussing subsequent soliton lengths)

$\lambda_1(X^{n,p})$ for $p < 1/2$, $p = 1/2$, and $p > 1/2$

- For $p < 1/2$, the associated walk S_k (and hence the carrier process) has negative drift, so the complete excursions of H has $O(1)$ height and there are $O(n)$ of them.
 $\implies \lambda_1(X^{n,p}) = \Theta(\log n)$ (Nearly follows Gumbel distribution)



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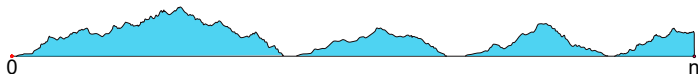
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- For $p > 1/2$, the carrier process has positive drift $2p - 1$ so $\lambda_1(X^{n,p}) \sim (2p - 1)n$.

4. The randomized multicolor BBS: Rows

Finite capacity carrier processes

- For each capacity $c \geq 1$, define the **capacity- c carrier process** over $X^{\mathbf{p}} = X^{\infty, \mathbf{p}}$ to be the MC $(\Gamma_t)_{t \geq 0}$ on state space $(\mathbb{Z}_{K+1})^c$ evolving via the **circular exclusion rule**.
 $\rightarrow \Gamma_0 = [0, 0, \dots, 0]$. Given Γ_t (c points on the ring \mathbb{Z}_{K+1}), newly inserted point $X^{\mathbf{p}}(t+1)$ excludes the nearest counterclockwise point in Γ_t .

Γ_x	0	0	0	0	0	0	2	2	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	0	0	0	0	0	3	3	6	2	1	2	2	5	5	6	0	0	0	2	3	0	0	0	0
	0	0	2	5	7	7	7	7	6	2	2	5	5	7	7	6	0	6	6	6	3	0	0	0
$X(x)$	0	2	5	7	3	2	6	1	0	2	5	5	7	6	0	0	6	2	3	0	0	0	0	0
$X'(x)$	0	0	2	5	0	0	3	7	6	1	2	2	5	5	7	6	0	0	2	6	3	0	0	0

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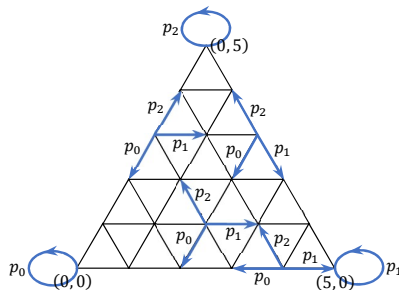


Figure: State space diagram for the capacity-5 carrier process Γ_t with $\kappa = 2$ and ball density $\mathbf{p} = (p_1, p_2, p_3)$.

Theorem (L., Kuniba 2018)

The capacity- c carrier process over $X^{\mathbf{p}}$ is an irreducible Markov chain with a unique stationary distribution π_c , which is given by

$$\pi_c(C) = \frac{1}{Z_c^{(a)}} \prod_{i=0}^{\kappa} p_i^{m_i(C)}, \quad (4)$$

where $m_i(C)$ denotes the number of i 's in the carrier state C and the normalization constant $Z_c^{(a)} = Z_c^{(a)}(\kappa, \mathbf{p})$ is given by

$$Z_c^{(a)}(\kappa, \mathbf{p}) = s_{(c^a)}(p_0, p_1, \dots, p_{\kappa}). \quad (5)$$

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Lemma (L., Kuniba 2018)

Let $(X_t)_{t \geq 0}$ be a κ -color BBS trajectory such that X_0 has finite support. For each $c \geq 1$, let $(\Gamma_{s;c})_{s \geq 0}$ denote the capacity- c carrier process over X_0 . Then for all $k, t \geq 1$, we have

$$\rho_1(\Lambda(X_0)) + \dots + \rho_k(\Lambda(X_0)) = \sum_{s=1}^{\infty} \mathbf{1}(X_t(s) > \min \Gamma_{s-1;k}), \quad (6)$$

where $\min \Gamma_{s-1;k}$ denotes the smallest entry in $\Gamma_{s-1;k}$.

SLLN for rows in the i.i.d. model

Theorem (L., Kuniba 2018)

Consider the basic κ -color BBS initialized at $X^{n,\mathbf{p}}$. Let $\rho_i^{(a)}$ denote the i^{th} row length of the a th invariant Young diagram $\mu^{(a)}$.

(i) For each $i \geq 1$ and $1 \leq a \leq \kappa$, almost surely as $n \rightarrow \infty$,

$$n^{-1} \rho_i^{(a)}(X^{n,\mathbf{p}}) \rightarrow \eta_i^{(a)} := \frac{s_{((i-1)^a-1)}(\mathbf{p}_0, \dots, \mathbf{p}_\kappa) \cdot s_{(i^a+1)}(\mathbf{p}_0, \dots, \mathbf{p}_\kappa)}{s_{(i^a)}(\mathbf{p}_0, \dots, \mathbf{p}_\kappa) \cdot s_{((i-1)^a)}(\mathbf{p}_0, \dots, \mathbf{p}_\kappa)} \in (0, 1], \quad (7)$$

where (c^a) denote the $(a \times c)$ Young diagram (c, c, \dots, c) and

$$s_\lambda(w_1, \dots, w_{\kappa+1}) = \det \left(w_i^{\lambda_j + \kappa + 1 - j} \right)_{i,j=1}^{\kappa+1} / \det \left(w_i^{\kappa + 1 - j} \right)_{i,j=1}^{\kappa+1} \quad (8)$$

is the [Schur polynomial](#) corresponding to a YD $\lambda = (\lambda_1 \geq \dots \geq \lambda_{\kappa+1})$.

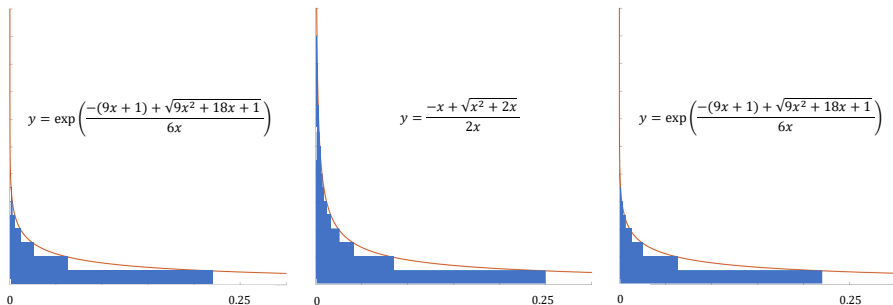


Figure: Vertical flip of the invariant Young diagrams $\Lambda(X^n, \mathbf{p})$ corresponding to the 1-color BBS of system size $n = 500000$ with ball density $\mathbf{p} = (p_0, p_1)$ with $p_1 = 1/3$ (left), $p_1 = 1/2$ (middle), and $p_1 = 2/3$ (right). The limiting curves for $p_1 = 1/3$ and $p_1 = 2/3$ are the same due to the ‘row duality’.

i.i.d. model conditioned on the highest state

- ▶ A given basic κ -color BBS configuration $X_0 : \mathbb{N} \rightarrow \{0, 1, \dots, \kappa\}$ is said to be a **highest state** if for all $n \geq 1$,

$$\#(\text{balls of color } i \text{ in } X_0 \text{ over } [1, n]) \geq \#(\text{balls of color } i+1 \text{ in } X_0 \text{ over } [1, n]) \quad (9)$$

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- ▶ For $\kappa = 1$, $X^{n,p}$ is a highest state iff the corresponding simple random walk with up prob. p stays nonnegative during $[0, n]$. (For $p = 1/2$, this occurs with prob. $\sim C/\sqrt{n}$.)

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- ▶ It is known that the number of highest states corresponding to the prescribed κ -tuple of YDs $(\mu^{(1)}, \dots, \mu^{(\kappa)})$ can be written down explicitly as the so-called **Fermionic form** [2]:

$$\prod_{a=1}^{\kappa} \prod_{i \geq 1} \binom{v_i^{(a)} + m_i^{(a)}}{m_i^{(a)}}, \quad (10)$$

where $m_i^{(a)} = (\# \text{columns of length } i \text{ in YD } \mu_i^{(a)})$, $v_i^{(a)} = \text{'vacancy' of } \mu_i^{(a)}$

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- ▶ The above formula follows from the **Kerov-Kirillov-Reshetikhi (KKR) bijection** between highest BBS configurations and **rigged configurations**.

Theorem (L., Kuniba, Okado 2018)

For each κ -color BBS configuration X , let $\Sigma(X)$ denote its associated κ -tuple of invariant Young diagrams. Then for every κ -tuple of Young diagrams $(\mu^{(1)}, \dots, \mu^{(\kappa)})$,

$$\mathbb{P} \left(\Sigma(X^{n,\mathbf{p}}) = (\mu^{(1)}, \dots, \mu^{(\kappa)}) \mid X^{n,\mathbf{p}} \text{ is highest} \right) \quad (11)$$

$$= \frac{1}{Z_n} e^{-\sum_{a=1}^{\kappa} \beta_a \sum_{i \geq 1} im_i^{(a)}} \prod_{a=1}^{\kappa} \prod_{i \geq 1} \binom{v_i^{(a)} + m_i^{(a)}}{m_i^{(a)}}, \quad (12)$$

where the chemical potentials β_a are defined by $e^{\beta_a} = p_{a-1}/p_a$ for $1 \leq a \leq \kappa$ and Z_n is the normalization constant.

- This gives **full joint distribution** of the κ -tuple of invariant YDs of $X^{n,\mathbf{p}}$, conditional on being highest.

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- ▶ This gives **full joint distribution** of the κ -tuple of invariant YDs of $X^{n,\mathbf{p}}$, conditional on being highest.
- ▶ Hence we can apply statistical physics type analysis (e.g., Maximize the Boltzman factor and find ‘equilibrium shape’ of the YDs as $n \rightarrow \infty$)

- ▶ The method of **Thermodynamic Bethe Ansatz** (TBA) characterizes such 'equilibrium shape' as the unique solution of a second-order difference equation :

$$\left\{ \begin{array}{l} \varphi_{i-1}^{(a)} - 2\varphi_i^{(a)} + \varphi_{i+1}^{(a)} = \sum_{b=1}^{\kappa} C_{ab}(y_i^{(b)})^{-1} \varphi_i^{(b)} \quad (i \geq 1, a \in [1, \kappa]) \\ \varphi_0^{(a)} = \delta_{a,1} \\ \varphi_{\infty}^{(a)} = \delta_{a,1} - \sum_{b=1}^{\kappa} C_{ab}(p_b + p_{b+1} + \cdots + p_{\kappa}), \end{array} \right.$$

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$$\lim_{n \rightarrow \infty} n^{-1} \rho_i^{(a)} = \sum_{b=1}^{\kappa} (C^{-1})_{ab} (\delta_{b,1} - \varphi_i^{(b)}) - \sum_{b=1}^{\kappa} (C^{-1})_{ab} (\delta_{b,1} - \varphi_{i-1}^{(b)}).$$

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- ▶ We can verify this by brute force computation. But this is saying

i.i.d. BBS \simeq i.i.d. BBS | highest states.

Why does the highest state conditioning does not matter?

LDP for rows

Theorem (L., Kuniba 2018)

Consider the basic κ -color BBS initialized at $X^{n,\mathbf{p}}$. For each $i \geq 1$ and $1 \leq a \leq \kappa$, there exists a convex rate function Λ^* with the following properties:

(i) For any Borel set $F \subseteq \mathbb{R}$,

$$\begin{aligned} - \inf_{u \in \mathring{F}} \Lambda^*(u) &\leq \liminf_{n \rightarrow \infty} \frac{1}{n} \log \mathbb{P} \left(n^{-1} \rho_i^{(a)}(X^{n,\mathbf{p}}) \in F \right) \\ &\leq \limsup_{n \rightarrow \infty} \frac{1}{n} \log \mathbb{P} \left(n^{-1} \rho_i^{(a)}(X^{n,\mathbf{p}}) \in F \right) \leq - \inf_{u \in \bar{F}} \Lambda^*(u), \end{aligned}$$

where \mathring{F} and \bar{F} denotes the interior and closure of F , respectively.

(ii) Let $\eta_i^{(a)}$ be the quantity defined at (??). Then there exists a constant $\nu \in (\eta_i^{(a)}, 1]$ such that $\Lambda^* \in (0, \infty)$ on $[0, \nu] \setminus \{\eta_i^{(a)}\}$.

SLLN for rows in the i.i.d. model

Theorem (L., Kuniba 2018)

Consider the basic κ -color BBS initialized at $X^{n,\mathbf{p}}$. Let $\rho_i^{(a)}$ denote the i^{th} row length of the a th invariant Young diagram $\mu^{(a)}$.

(ii) Suppose $p_0 \geq p_1 \geq \cdots \geq p_\kappa$ and let $Y^{n,\mathbf{p}}$ denote $X^{n,\mathbf{p}}$ conditioned on being *highest state*. For each $i \geq 1$ and $1 \leq a \leq \kappa$, almost surely as $n \rightarrow \infty$,

$$n^{-1} \rho_i^{(a)}(Y^{n,\mathbf{p}}) \rightarrow \eta_i^{(a)} \in (0, 1], \quad (13)$$

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where $\eta_i^{(a)}$ is the same as (??).

- ▶ Row lengths are exponentially concentrated, but the highest state conditioning is only polynomial:

$$\begin{aligned} \mathbb{P} \left(\left| n^{-1} \rho_c^{(a)}(X^{n,\mathbf{p}}) - \eta_c^{(a)} \right| \geq u \mid X^{n,\mathbf{p}} \text{ is highest} \right) &\leq \frac{\mathbb{P} \left(\left| n^{-1} \rho_c^{(a)}(X^{n,\mathbf{p}}) - \eta_c^{(a)} \right| \geq u \right)}{\mathbb{P}(X^{n,\mathbf{p}} \text{ is highest})} \\ &\leq c_1 n^{\kappa(\kappa+1)/2} \exp(-(\tilde{\Lambda}^*(u)/2)n) \end{aligned}$$

Circular exclusion process for the permutation model

- ▶ Let X^n denote a uniform random permutation of $[n]$. It has the same law as the **order statistics** of i.i.d. $\text{Uniform}([0, 1])$ RVs U_1, \dots, U_n .

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 → $\Gamma_0 = [0, 0, \dots, 0]$. Given Γ_t (c points on the unit circle S^1), newly inserted point U_{t+1} excludes the nearest counterclockwise point in Γ_t .

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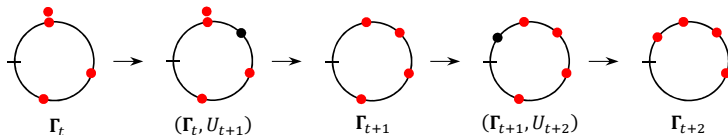


Figure: Evolution of a 4-point circular exclusion process. Each newly inserted point (black dot) annihilates the closest pre-existing point (red dot) in the counterclockwise direction.

Lemma (Lewis, L., Pylyavskyy, Sen 2019)

Fix an integer $k \geq 1$ and let $(\Gamma_t)_{t \geq 0}$ denote the k -point circular exclusion process with an arbitrary initial configuration.

- (i) Let π denote the distribution of the order statistics from k i.i.d. uniform random variables on $[0, 1]$. Then π is the unique stationary distribution for the Markov chain $(\Gamma_t)_{t \geq 0}$.
- (ii) For each $t \geq 0$, let π_t denote the distribution of Γ_t . Then π_t converges to π in total variation distance. More precisely,

$$d_{TV}(\pi_t, \pi) := \sup_{A \subseteq [0, 1]^k} |\pi_t(A) - \pi(A)| \leq \left(1 - \frac{1}{k!}\right)^{\lfloor t/k \rfloor}, \quad (14)$$

where the supremum runs over all Lebesgue measurable subsets $A \subseteq [0, 1]^k$.

Theorem (Lewis, L., Pylyavskyy, Sen 2019)

Let X^n be as above. For each $k \geq 1$, denote $\rho_k(n) = \rho_k(X^n)$ and $\lambda_k(n) = \lambda_k(X^n)$. Then for each fixed $k \geq 1$, almost surely,

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- ▶ Since the corresponding continuum circular exclusion process converges to the law of order statistics of k i.i.d. uniforms, Markov chain ergodic theorem gives, a.s.,

$$\lim_{n \rightarrow \infty} n^{-1} (\rho_1(\Lambda(X_0)) + \cdots + \rho_k(\Lambda(X_0))) = \mathbb{P}(U_{k+1} > \min(U_1, \dots, U_k)) = \frac{k}{k+1}.$$

5. The randomized multicolor BBS: Columns

The infinite capacity carrier process

- Define the **infinite capacity carrier process** over $X^{\mathbf{p}} = X^{\infty, \mathbf{p}}$ to be the MC $(\Gamma_t)_{t \geq 0}$ on state space $(\mathbb{Z}_{\kappa+1})^{\mathbb{N}}$ evolving via the **circular exclusion rule**.
 $\rightarrow \Gamma_0 = [0, 0, \dots]$. Given Γ_t (finitely many nonzero points, infinitely many 0's on the ring $\mathbb{Z}_{\kappa+1}$), newly inserted point $X^{\mathbf{p}}(t+1)$ excludes the nearest counterclockwise point in Γ_t .

Γ_x	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	2	2	2	1	2	2	5	5	5	0	0	0	0	0	0	0	0
	0	0	0	0	0	3	3	6	6	2	2	5	5	5	6	5	0	0	2	3	0	0	0
	0	0	2	5	7	7	7	7	7	6	6	6	6	7	7	6	5	6	6	6	3	0	0
$X(x)$	0	2	5	7	3	2	6	1	0	2	5	5	7	6	0	0	6	2	3	0	0	0	0
$X'(x)$	0	0	2	5	0	0	3	0	7	1	2	2	6	5	7	6	5	0	2	6	3	0	0

Figure: Time evolution of the infinite capacity carrier process $(\Gamma_x)_{x \geq 0}$ over the 7-color initial configuration X and new configuration X' consisting of exiting ball colors. For instance, $X(2) = 2$, $\Gamma(2) = [2, 0, 0, \dots]$, and $X'(4) = 5$. Notice that X' can also be obtained by the time evolution of the 7-color BBS applied to X .

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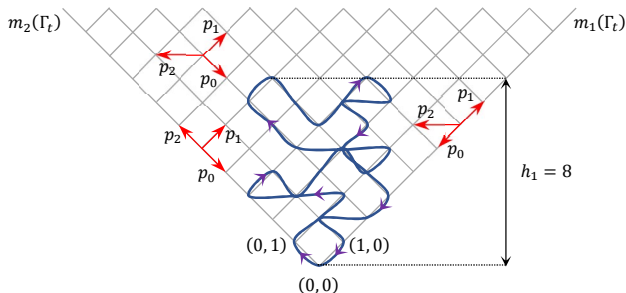


Figure: State space diagram for the Markov chain $S\Gamma_t = (m_1(\Gamma_t), m_2(\Gamma_t))$ for $\kappa = 2$, where $m_i(\Gamma_t) = (\# \text{ of balls of color } i \text{ in } \Gamma_t)$

- Define a probability distribution π on $(\mathbb{Z}_{\geq 0})^\kappa$ by

$$\pi(n_1, n_2, \dots, n_\kappa) = \prod_{i=1}^{\kappa} \left(1 - \frac{p_i}{p_0}\right) \left(\frac{p_i}{p_0}\right)^{n_i}. \quad (16)$$

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Lemma

Suppose $p_0 > \max(p_1, \dots, p_\kappa)$. Then $S\Gamma_t = (m_1(\Gamma_t), \dots, m_\kappa(\Gamma_t))$ is an irreducible and aperiodic Markov chain with π above as its unique stationary distribution. Furthermore, if we denote its distribution at time t by π_t , then

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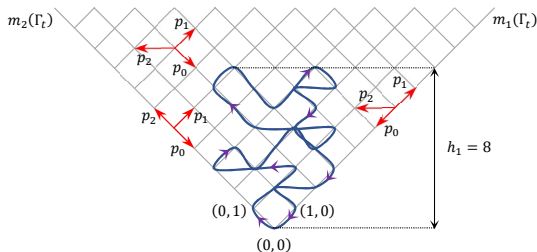
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Lemma

Let $(X_t)_{t \geq 0}$ be a κ -color BBS trajectory such that X_0 has finite support. Let $(\Gamma_s)_{s \geq 0}$ be the infinite capacity carrier process over X_0 . Then we have

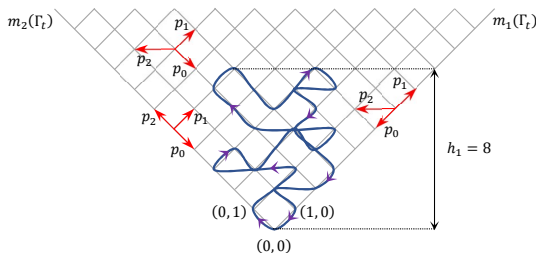
$$\lambda_1(X_0) = \max_{s \geq 0} (\# \text{ of nonzero entries in } \Gamma_s). \quad (18)$$



Proposition

Suppose $p_0 > \max(p_1, \dots, p_\kappa)$. Then there exists some constants $0 < C_2 \leq C_1$ and $\theta_2 \geq \theta_1 \geq (p_0/p_\kappa)$ such that for any $x \geq 0$,

$$\frac{C_1}{\theta_1^x} \leq \mathbb{P}(h_1 > x) \leq \frac{C_2}{\theta_2^x}.$$



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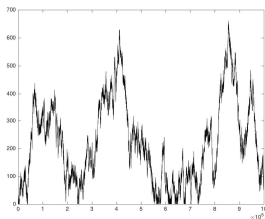
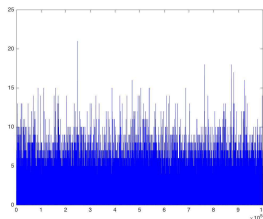
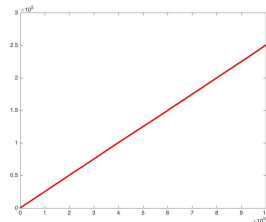
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- One can then show (similarly as in the $\kappa = 1$ case) that $\lambda_1(X^{n,p}) = \Theta(\log n)$ if $p_0 > \max(p_1, \dots, p_\kappa)$.

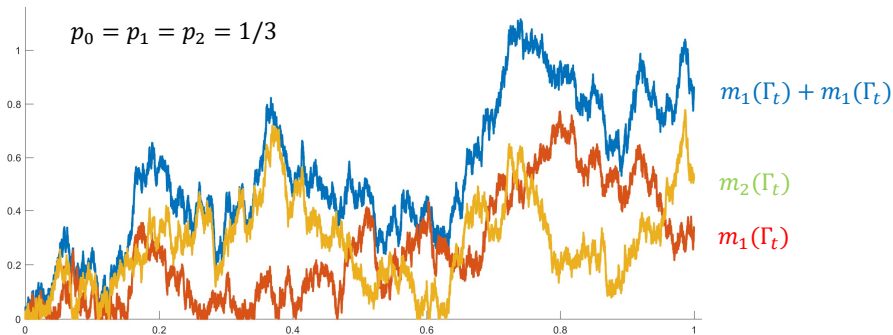
Full finite-capacity carrier process

$$p_0 = 0.1, p_1 = 0.35, p_2 = 0.2, p_3 = 0.35$$


 $m_1(\Gamma_t)$

 $m_2(\Gamma_t)$

 $m_3(\Gamma_t)$

- ▶ Subcritical, critical, and supercritical MC functionals are all combined in a single infinite-capacity carrier process

Full finite-capacity carrier process



- ▶ Multiple reflecting Brownian motions are intertwined in a single carrier process

Decoupled carrier process

- In order to handle the dependence between the occupancy of each color in the carrier process, **decouple** different colors within the carrier process

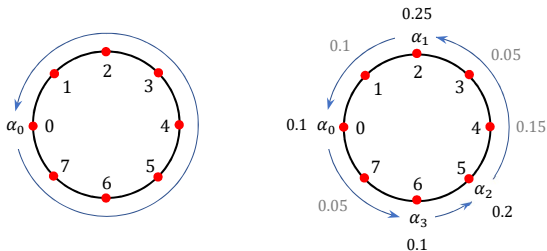


Figure: Illustration of the original circular exclusion rule (left) and its decoupled version (right) for $\kappa = 7$ and ball density $\mathbf{p} = (.1, .1, .25, .05, .15, .2, .1, .05)$. In this case $C_p^u = \{0, 2, 5, 6\}$.

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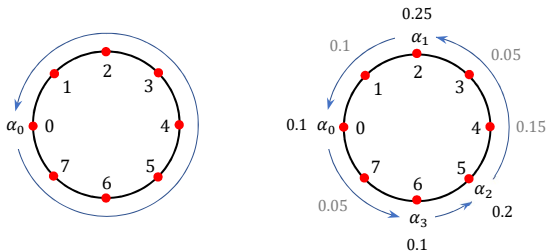


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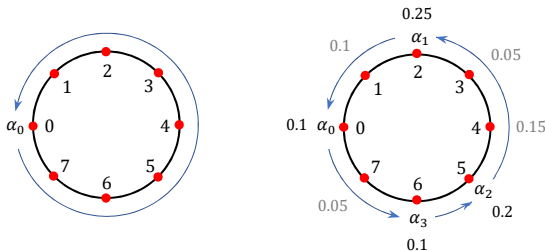


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- ▶ This **decoupled carrier process dominates the original process**.

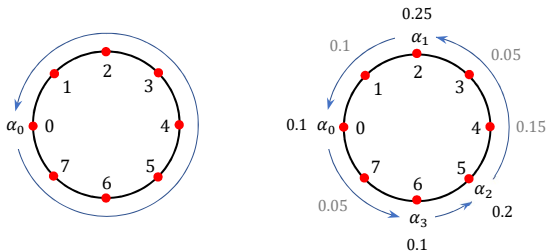


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Theorem (L., Kuniba 2018, Lewis, L., Pylyavskyy, Sen 2019)

Fix $\kappa \geq 1$ and let $X^{n,\mathbf{p}}$ be as above. Denote $\lambda_j(n) = \lambda_j(X^{n,\mathbf{p}})$ and $p^* = \max_{1 \leq i \leq \kappa} p_i$. Then

$i \geq 1, j \geq 2$ fixed		$\rho_i(n)$	$\lambda_1(n)$	$\lambda_j(n)$
Subcritical phase ($p^* < p_0$)		$\Theta(n)$	$\Theta(\log n)$	$\Theta(\log n)$
Critical phase ($p^* = p_0$)		$\Theta(n)$	$\Theta(\sqrt{n})$	$\Theta(\sqrt{n})$
Supercritical phase ($p^* > p_0$)	Simple ($p^* = p_\ell$ for unique ℓ)	$\Theta(n)$	$\Theta(n)$	$\Theta(\log n)$
	Non-simple ($p^* = p_\ell$ for multiple ℓ)			$O(\sqrt{n}) \cap \Omega(\sqrt{n}/\log n)$

Modified Greene-Kleitman invariants

- Given a permutation, the sum of first k rows and columns of the RSK-YD $\Lambda_{RSK}(\sigma)$ has the following interpretation:

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We call the RHSs the **modified Greene-Kleitman invariants**.

- For general BBS configurations possibly with repetitions and zeros, similar relation holds with penalization for 0's.

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$$\mathbb{P}(m^{-1/6}(L(m) - 2\sqrt{m}) \leq -t) \leq C \exp(-ct^3) \quad \text{for all } t \in [M, n^{5/6} - 2n^{1/3}];$$

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- ▶ Now use suitable partitioning and union bound.

Open questions – Generalization to dKdV

- Recall the limiting procedures: $\text{KdV} \rightarrow \text{dKdV} \rightarrow \text{udKdV}$:

$$(\text{KdV}) \quad u_t + 6uu_t + u_{xxx} = 0$$

$$(\text{dKdV}) \quad y_i^t + \frac{\delta}{y_{i+1}^t} = \frac{\delta}{y_i^{t+1}} + y_{i+1}^{t+1}$$

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- These are much harder question for dKdV because **not everything decomposes into solitons**: just like in the usual KdV, there is chaotic “radiation” left behind.

Thanks!



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